

Singer Capital Markets

Modern Slavery Act Statement

This statement is made pursuant to section 54(1) of the Modern Slavery Act 2015 and covers Singer Capital Markets Limited, Singer Capital Markets Securities Limited and Singer Capital Markets Advisory LLP (together, "**Singer Capital Markets**") for the financial year ended 31 December 2020.

Our structure and business

Singer Capital Markets is a leading UK-based investment bank focused on servicing UK mid and small-cap growth companies. Our principal activities include corporate broking, corporate advisory, sales, research and institutional stockbroking services to clients.

To provide the above services, Singer Capital Markets engage a number of suppliers and employs over 100 staff throughout the United Kingdom. It is these employees, suppliers and the associated 'supply chain' that we are focusing on in our efforts to remain free from modern slavery and human trafficking.

Our supply chains

Singer Capital Markets provides financial services, and does not act as a producer, manufacturer, or distributor of any physical goods. Singer Capital Markets has a simple supply chain in comparison to goods providers.

Notwithstanding this, Singer Capital Markets is committed to ensuring that its supply chain is free of any modern slavery or human trafficking. Singer Capital Markets will not knowingly engage in relationships which support modern slavery.

Our commitment in combating slavery and human trafficking

In order to identify and mitigate the risk of slavery and human trafficking taking place in business and supply chains, Singer Capital Markets will:

- investigate any risks identified in relation to suppliers
- implement training for staff where appropriate
- continue to review our approach and look to develop it



Tim Cockroft

Founder & Chief Executive, Singer Capital Markets

28 June 2021

This statement has been reviewed and approved by the Board of Directors of Singer Capital Markets Limited and Singer Capital Markets Securities Limited.



Singer
Capital Markets

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