

Singer Capital Markets

Modern Slavery Act Statement

This statement is made on behalf of Singer Capital Markets Limited and its subsidiaries, Singer Capital Markets Securities Limited and Singer Capital Markets Advisory LLP (together, "**Singer Capital Markets**"). This statement is made pursuant to section 54(1) of the Modern Slavery Act 2015 and applies to Singer Capital Markets for the financial year ended 31 December 2021.

Our structure and business

Singer Capital Markets is a leading UK-based investment bank focused on servicing UK mid and small-cap growth companies. Our principal activities include corporate broking, corporate advisory, sales, research and institutional stockbroking services to clients.

To provide the above services, Singer Capital Markets engage a number of suppliers and employs over 120 staff throughout the United Kingdom. It is these employees, suppliers and the associated 'supply chain' that we are focusing on in our efforts to remain free from modern slavery and human trafficking.

Our supply chains

Singer Capital Markets provides financial services, and does not act as a producer, manufacturer, or distributor of any physical goods. As a result, Singer Capital Markets has a simple supply chain which includes but is not limited to:

- IT hardware and software;
- Building facilities and office supplies; and
- Legal, accountancy and other professional intermediaries.

Our approach and commitment in combating modern slavery and human trafficking

We are committed to conducting business ethically and to ensuring, where possible, that there is no modern slavery or human trafficking in our supply chains or in any part of our business. Singer Capital Markets will not knowingly support or do business with any suppliers who are involved in modern slavery or human trafficking.

We have in place policies and procedures (including those covering ethical behaviour, conduct, whistleblowing and employment practices) designed to promote ethical and compliance behaviours, the fair recruitment and treatment of employees as well as to encourage and protect anyone who wishes to raise concerns about wrongdoing within our business.

Staff training and education is an important part of our culture. We have therefore identified relevant training which will be rolled out to key staff and which is intended to provide those staff with a high level understanding of the risks of modern slavery and human trafficking.

As part of our ongoing commitment to identify and mitigate the risk of modern slavery and human trafficking taking place in our business and supply chains, Singer Capital Markets will:

- apply risk based methodologies to screen and monitor supplier relationships to identify and mitigate any risks; and
- continue to review our approach and look to develop it.



Tim Cockroft

Founder & Chief Executive, Singer Capital Markets

10 May 2022

This statement has been reviewed and approved by the Board of Directors of Singer Capital Markets Limited



Singer
Capital Markets

Head office

1 Bartholomew Lane,
London EC2N 2AX

Main Reception: +44 (0)20 7496 3000

Compliance: +44 (0)20 7496 3093