



# **Singer Capital Markets**

## **Modern Slavery Act Statement**

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This statement is made on behalf of Singer Capital Markets Limited and its subsidiaries, Singer Capital Markets Securities Limited and Singer Capital Markets Advisory LLP (together, "**Singer Capital Markets**"). This statement is made pursuant to section 54(1) of the Modern Slavery Act 2015 and applies to Singer Capital Markets for the financial year ended 31 December 2024.

## Our structure and business

Singer Capital Markets is a leading UK-based investment bank focused on servicing UK mid and small-cap growth companies. Our principal activities include corporate broking, corporate advisory, sales, research and institutional stockbroking services, including market making in UK equity and fixed income instruments, to clients.

In conducting the above activities, Singer Capital Markets engages a number of suppliers and employs c.115 staff throughout the United Kingdom. It is these employees, suppliers and the associated 'supply chain' that we are focusing on in our efforts to remain free from modern slavery and human trafficking.

## Our supply chains

Singer Capital Markets provides financial services, and does not act as a producer, manufacturer, or distributor of any physical goods. As a result, Singer Capital Markets has a simple supply chain which includes but is not limited to:

- IT hardware and software;
- Building space, facilities and office supplies;
- Suppliers of financial market data and news services;
- Custodial and settlement service providers; and
- Legal, accountancy and other professional service providers.

## Our approach and commitment in combating modern slavery and human trafficking

Singer Capital Markets is committed to conducting business in an ethical manner and ensuring that there is no modern slavery or human trafficking in our supply chains or in any part of our business. Singer Capital Markets will not knowingly work with any organisation who is involved in modern slavery or human trafficking.

Singer Capital Markets has in place policies and procedures (including those covering ethical behaviour, conduct, whistleblowing and employment practices) designed to promote ethical and compliant behaviours, the fair recruitment and treatment of employees as well as to encourage and protect anyone who wishes to raise concerns about wrongdoing within our business.

Staff training and education is an important part of our culture. Singer Capital Markets will implement training for key staff to ensure they have a high level understanding of the risks of modern slavery and human trafficking.

As part of our ongoing commitment to identify and mitigate the risk of modern slavery and human trafficking taking place in our business and supply chains, Singer Capital Markets will:

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- apply risk-based due diligence to screen and monitor supplier relationships to identify and mitigate any risks; and
- continue to review and enhance our internal policies and procedures when engaging with suppliers.



**Tim Cockcroft**

**Founder & Exec Chair, Singer Capital Markets**

23 June 2025

*This statement has been reviewed and approved by the Board of Directors of Singer Capital Markets Limited*



## **Head office**

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